

## What is Global RADAR

Global RADAR<sup>®</sup> is the leader in client onboarding and transaction surveillance. We make it easier to open accounts in a secure digital environment while ensuring compliance with global regulatory requirements and leverage sophisticated analytics to ensure investment suitability for clients.

Global RADAR<sup>®</sup> is an account opening and transaction surveillance solution for use by both financial institutions and new clients. Enhance the client onboarding experience independent of the limitations of an existing core system or geographic boundaries, improve cross-sell rates while reducing high abandonment rates. Instead of lengthy new account opening forms and cumbersome authentication processes, we transform current complex paper forms and document transactions into a much more engaging experience, on any device which improves the new account opening and onboarding process.



Global RADAR<sup>®</sup> was developed to guide the customer along the new account application process with instructions and guidance developed for this specific purpose. Clients can e-sign the new account application in real time, and finally submit a completed application.



**NON-COMPLIANCE  
IS NOW RESULTING IN  
RECORD PENALTIES.**

Global RADAR<sup>®</sup> captures information utilized to ensure compliance with onboarding screening and ongoing re-screening list check requirements, automatically risk rates clients, and makes sure required documentation is obtained.

Global RADAR<sup>®</sup> offers organizations the opportunity to utilize a truly integrated solution through easily accessible online channels utilizing one of several language options including English, Spanish and Portuguese.

With Global RADAR<sup>®</sup>, your organization will exceed regulatory requirements by having an accurate, risk-rated, documented profile of every client. You now have the unprecedented opportunity to streamline the account opening and compliance process all-in-one intuitive web-based solution.

## What Sets Us Apart

- Accelerate account opening and back-office compliance account reviews
- Personalize digital content (that was previously paper based)
- Information obtained can be effectively utilized for cross selling products and services in real time
- Identify and resolve issues with the comprehensive reporting and dashboard functionality
- Self-configurable, putting the power and control directly in your hands
- Test the application settings in a staging area before deploying to production
- System enhancements are easily incorporated without having to wait months or even years
- Allows organizations to understand their clients' relationship and organization beyond the traditional systems capabilities
- Integrates with existing core systems independent of their limitations
- Web-based, easy-to-use, intuitive and a timesaver
- Complies with record retention requirements, making historical records easily accessible

## Global RADAR® Modules:



### Customer Due Diligence

The information gathered by the onboarding process through direct input or automated integration allows you to understand each client. The automated risk rating process allows you to quickly identify high, moderate or low risk clients, allowing you to prioritize your review processes and increase staff productivity.

#### Highlights:

*Effectively gather important client profile details and turn information into opportunities*

*Streamline data gathering and application completion to comply with requirements*

*Ensure compliance with disclosure and record keeping requirements*

*Automatically risk rate accounts and client relationships*



### Document Management

Improve the client onboarding and management experience. You can now easily generate all client documents automatically.

Track documents needed to establish a client relationship regardless of the complexity. With an adaptive self-configurable documentation checklist, you can manage and generate account documents on your organization's pre-designed forms, integrate with your current document management repository or replace legacy repository tools. Global RADAR® is fully compliant with regulatory record keeping requirements.



### Watch List Screening

With features such as off-line screening, onboarding screening, batch screening, enhanced name-matching capabilities, transaction screening, Global RADAR® accelerates the account opening process. We simplify screening for anti-money laundering, sanctions, organized crime and threat finance, as well as providing detailed monitoring of Politically Exposed Person (PEP) relationships, enabling organizations to screen for a variety of regulatory requirements. Screening algorithms can be customized and self-configured for the effective detection of possible matches.



### Transaction Surveillance

The Surveillance Module tracks transactions across trading, fraud, anti-money laundering, and business management risk units to streamline investigations. This is done by leveraging sophisticated analytics to ensure investment suitability using both rules and statistical based methodologies. Alerts can be easily created, managed, prioritized and documented. The comprehensive reporting and dashboard functionality allows managers to quickly assess the overall effectiveness of brokerage compliance policies and procedures so proactive steps can be taken to quickly identify and resolve issues.

SEAMLESSLY INTEGRATES INTO EXISTING APPLICATIONS TO ENHANCE OPERATIONS



### About Global RADAR®

Global RADAR® is a leading provider a premier compliance and risk management solutions, established with more than ten years of experience in the financial services sector. Team members are located in eight strategically placed offices globally to maintain a premier software solution and support client needs across many time zones. Our client base spans many sectors, including corporate, brokerage, banking, finance, manufacturing, insurance and real estate in over twenty countries. Global RADAR® has assisted many organizations globally in the successful implementation of

compliance initiatives and policies that have resulted in improved compliance programs with reduced costs through productivity and integration that now meet and exceed regulatory requirements.

[GlobalRADAR.com](http://GlobalRADAR.com)